

# Appendix A

## Public expenditure tables

### **The Government's expenditure plans 2003-04 to 2005-06**

The following tables explain what the Department for Transport spends its money on. They provide an analysis of Departmental expenditure plans in resource terms, showing resource consumption and capital investment. The information includes voted and non-voted expenditure, and also shows the extent to which the Department funds local authority activities.

The tables also cover expenditure by the Office of the Rail Regulator (ORR).

HM Treasury published additional information covering resource and capital budgets, giving a detailed read-across with the Main Estimates and cash spending plans, as Supplementary Budget information tables published alongside the Main Estimates.

### **TABLE 1 – RESOURCE PLANS SUMMARY**

This table summarises the expenditure on functions that are now administered by the Department for Transport from 1998-99 to 2005-06. The Department was formed in May 2002, so past years' figures have been determined on the basis of expenditure incurred by each of the Department's various functions prior to the machinery of government changes in May 2002. Future figures are those agreed with HM Treasury as part of the Spending Review 2002.

The table is split between main areas of expenditure, in terms of general transport programme, and specific safety and security expenditure. The Consumption of Resources includes both administration and programme expenditure.

Local authority expenditure on transport is also shown on this table and the extent to which it is supported by Department for Transport grants.

This table shows separately the figures for the ORR.

### **TABLE 2 – RESOURCE BUDGET DETAIL**

This table provides similar information to Table 1, though in greater detail. It shows the expenditure for key functional areas of the Department, indicating how the Department spends its money.

### **TABLE 3 – CAPITAL BUDGET DETAIL**

This table provides details for the capital expenditure plans in the same format as Table 2.

## TABLE 4 – CAPITAL EMPLOYED

This table shows the capital employed by the Department. In a balance sheet format, it provides a high-level analysis of the value of the various categories of fixed assets employed by the Department. It also shows details of the current assets, debtor and creditor values, and also the extent of provisions made.

## TABLE 5 – DEPARTMENTAL ADMINISTRATION COSTS

This table presents, in more detail, information on the administration costs of running the Department. The administration costs for each of the Department's executive agencies are shown separately.

## TABLE 6 – STAFF NUMBERS

This table provides a breakdown of the central Department and agencies' staff numbers. It also includes figures for the ORR. Further information about the Department's recruitment and staffing is available in Appendix B.

## Appendix A Public expenditure tables

Footnotes for Tables A1, A2 and A3 can be found after Table A3.

**Table A1: Department for Transport total public spending<sup>1</sup>£ million**

	1998-99 Outturn	1999-00 Outturn	2000-01 Outturn	2001-02 Outturn	2002-03 Outturn	2003-04 Estimated outturn	2004-05 Plans	2005-06 Plans
<b>Consumption of resources:</b>								
Integrated transport	5,255	5,508	4,792	6,240	8,813	10,710	9,120	9,496
Transport safety and security	157	190	200	216	338	348	290	290
Other	100	97	109	95	113	124	130	163
<b>Total resource budget</b>	<b>5,511</b>	<b>5,795</b>	<b>5,101</b>	<b>6,551</b>	<b>9,264</b>	<b>11,181</b>	<b>9,540</b>	<b>9,949</b>
<i>of which:</i>								
Resource DEL	3,434	4,024	3,376	4,444	6,208	7,972	7,451	8,370
<b>Capital spending</b>								
Integrated transport	1,168	1,095	1,365	2,378	3,055	3,253	3,565	3,207
Transport safety and security	13	11	26	39	40	50	24	26
Other	9	9	14	12	8	13	63	68
<b>Total capital budget</b>	<b>1,190</b>	<b>1,114</b>	<b>1,405</b>	<b>2,429</b>	<b>3,102</b>	<b>3,317</b>	<b>3,652</b>	<b>3,300</b>
<i>of which:</i>								
Capital DEL	1,190	1,114	1,405	2,429	3,102	3,317	3,652	3,300
<b>Total public spending</b>	<b>6,688</b>	<b>6,890</b>	<b>6,478</b>	<b>8,937</b>	<b>12,096</b>	<b>13,865</b>	<b>12,841</b>	<b>12,864</b>
<b>Spending by local authorities on functions relevant to the department</b>								

<b>Current spending</b>	<b>2,294</b>	<b>2,315</b>	<b>2,599</b>	<b>3,021</b>	<b>3,407</b>	<b>3,696</b>	-	-
<i>of which:</i>								
financed by grants from budgets above	271	260	523	508	717	1,212	-	-
<b>Capital spending</b>	<b>964</b>	<b>982</b>	<b>1,311</b>	<b>1,797</b>	<b>2,378</b>	<b>2,242</b>	-	-
<i>of which:</i>								
financed by grants from budgets above	615	639	855	1,759	2,259	2,586	-	-

**Table A1: Total public spending: Office of the Rail Regulator (ORR)£ 000s**

	1998-99 Outturn	1999-00 Outturn	2000-01 Outturn	2001-02 Outturn	2002-03 Outturn	2003-04 Estimated outturn	2004-05 Plans	2005-06 Plans
<b>ORR public spending, consumption of resources:</b>								
To create a better railway for passengers and freight, and better value for public funding authorities, through independent, fair and effective regulation	77	2,550	1,390	-9	422	1	1	1
<b>Total resource budget</b>	<b>77</b>	<b>2,550</b>	<b>1,390</b>	<b>-9</b>	<b>422</b>	<b>1</b>	<b>1</b>	<b>1</b>
<i>of which:</i>								
Resource DEL	77	2,550	1,390	-9	422	1	1	1
<b>Capital spending</b>								
To create a better railway for passengers and freight, and better value for public funding authorities, through independent, fair and effective regulation	671	1,044	-274	433	263	461	500	500
<b>Total capital budget</b>	<b>671</b>	<b>1,044</b>	<b>-274</b>	<b>433</b>	<b>263</b>	<b>461</b>	<b>500</b>	<b>500</b>
<i>of which:</i>								
Capital DEL	671	1,044	-274	433	263	461	500	500
<b>Total public spending by the ORR</b>	<b>418</b>	<b>3,150</b>	<b>406</b>	<b>-108</b>	<b>183</b>	<b>-138</b>	<b>-99</b>	<b>-99</b>

**Table A2: Resource budget for the Department for Transport1£ million**

	1998-99 Outturn	1999-00 Outturn	2000-01 Outturn	2001-02 Outturn	2002-03 Outturn	2003-04 Estimated outturn	2004-05 Plans	2005-06 Plans
<b>Consumption of resources by activity:</b>								
<b>Integrated transport</b>	<b>5,255</b>	<b>5,508</b>	<b>4,792</b>	<b>6,240</b>	<b>8,813</b>	<b>10,710</b>	<b>9,120</b>	<b>9,496</b>
<i>of which:</i>								
Aviation <sup>2</sup>	23	26	32	99	28	22	18	18
Dartford tolls	-	-	-	1	-68	-67	-67	-67
Greater London Authority Transport Grant	61	43	290	696	1,068	1,573	1,379	1,393
Highways Agency	2,577	2,851	2,616	3,097	3,506	3,992	3,645	4,037
Integrated local transport	761	397	528	364	406	454	490	531

Logistics and maritime	25	24	44	27	15	29	24	24
Mobility and Inclusion Unit	#	#	1	1	1	3	3	3
Railways <sup>3</sup>	1,348	1,141	999	1,863	3,744	4,579	3,459	3,395
Regional transport	430	816	224	12	12	5	8	8
Roads and vehicles	14	15	30	42	66	76	95	95
Transport analysis and economics	7	9	9	10	11	6	6	6
Transport strategy and delivery <sup>4</sup>	9	186	21	30	26	39	59	51
<b>Other</b>	<b>100</b>	<b>97</b>	<b>109</b>	<b>95</b>	<b>113</b>	<b>124</b>	<b>130</b>	<b>163</b>
<i>of which:</i>								
Central administration <sup>5</sup>	93	97	109	95	113	124	125	157
Departmental unallocated provision	-	-	-	-	-	-	5	6
Payments to the Meteorological Office	7	-	-	-	-	-	-	-
<b>Transport safety and security</b>	<b>157</b>	<b>190</b>	<b>200</b>	<b>216</b>	<b>338</b>	<b>348</b>	<b>290</b>	<b>290</b>
<i>of which:</i>								
Air Accidents Investigation Branch	2	3	3	2	3	3	3	3
Aviation	-	-	-	-	-	1	1	-
Driver and Vehicle Licensing Agency	63	83	84	96	149	175	144	144
DVO Group <sup>6</sup>	-5	-2	-2	2	53	10	#	#
Logistics and maritime	-	#	#	#	#	16	8	7
Marine Accident Investigation Branch	1	1	1	1	1	2	2	2
Maritime and Coastguard Agency	84	92	93	94	106	112	107	107
Rail Accident Investigation Branch	-	-	-	-	-	2	1	1
Roads and vehicles	8	9	17	16	18	20	20	22
Transport security	4	4	4	4	5	7	4	4
Vehicle Certification Agency	#	#	#	#	2	#	#	#
<b>Total resource budget</b>	<b>5,511</b>	<b>5,795</b>	<b>5,101</b>	<b>6,551</b>	<b>9,264</b>	<b>11,181</b>	<b>9,540</b>	<b>9,949</b>

**Table A3: Capital budget for the Department for Transport<sup>1</sup>£ million**

	1998-99 Outturn	1999-00 Outturn	2000-01 Outturn	2001-02 Outturn	2002-03 Outturn	2003-04 Estimated outturn	2004-05 Plans	2005-06 Plans
<b>Consumption of resources by activity:</b>								
<b>Integrated transport</b>	<b>1,168</b>	<b>1,095</b>	<b>1,365</b>	<b>2,378</b>	<b>3,055</b>	<b>3,253</b>	<b>3,565</b>	<b>3,207</b>
<i>of which:</i>								
Aviation <sup>7</sup>	5	4	4	4	69	4	4	4
Greater London Authority Transport Grant	20	20	42	507	728	981	846	731
Highways Agency	558	432	410	607	736	651	741	495
Integrated local transport	523	625	813	1,242	1,499	1,565	1,945	1,945
Logistics and maritime	#	1	2	5	1	1	5	6
Mobility and Inclusion Unit	#	#	#	#	#	#	#	#
Railways <sup>8</sup>	-25	#	-11	6	-12	-3	-4	-3
Regional transport <sup>9</sup>	-	-	104	-	-	-	-	-
Roads and vehicles	80	3	#	4	21	33	9	9

Transport strategy and delivery <sup>4</sup>	8	9	1	3	12	22	19	19
<b>Transport safety and security of which:</b>	<b>13</b>	<b>11</b>	<b>26</b>	<b>39</b>	<b>40</b>	<b>50</b>	<b>24</b>	<b>26</b>
Air Accidents Investigation Branch	#	#	#	#	#	#	#	#
Aviation	-	-	-	-	-1	-	-	-
Driver and Vehicle Licensing Agency	7	7	18	27	22	18	7	7
DVO Group <sup>6</sup>	#	#	#	#	-1	17	-2	-1
Logistics and maritime	-	#	#	#	-	-	#	#
Maritime and Coastguard Agency	6	3	8	11	16	10	6	6
Roads and vehicles	-	-	-	1	3	5	12	12
Vehicle Certification Agency	#	#	#	#	#	#	#	#
<b>Other of which:</b>	<b>9</b>	<b>9</b>	<b>14</b>	<b>12</b>	<b>8</b>	<b>13</b>	<b>63</b>	<b>68</b>
Central administration <sup>5</sup>	9	9	14	12	8	13	36	36
Departmental unallocated provision	-	-	-	-	-	-	27	32
<b>Total capital budget</b>	<b>1,190</b>	<b>1,114</b>	<b>1,405</b>	<b>2,429</b>	<b>3,102</b>	<b>3,317</b>	<b>3,652</b>	<b>3,300</b>

1 The figures contained in the above tables (A1, A2 and A3) are on a full resource accounting and budgeting (RAB) Stage 2 basis. This means that the tables now include non cash annually managed budget (AME) funds that transferred to Departmental expenditure limits (DEL) on 1st April 2003. Resource Investment, which includes capital grants to the private sector, is now also included in DEL. Total public spending is calculated as the total of the resource budget plus the capital budget, less depreciation.

2 Increased expenditure in 2001-02 as a result of financial assistance granted to Airlines post September 11th 2001.

3 Channel Tunnel Rail Link Provisions are included in the resource budget table from 2002-03 onwards. Expenditure on Mersey Rail is included from 2003-04 onwards. The Railways figures show a provision of £25 million for Thameslink in 2002-03, which was reversed in 2003-04.

4 Transport strategy includes European Regional Development Fund figures from 1998 onwards.

5 Central Administration consists of net expenditure within the administration costs limits (see table A5) and additionally some net expenditure, such as on NDPBs and secondments, which are outside the limits.

6 DVO Group consists of the organisations belonging to the Driver, Vehicle and Operator Group that are not separately identified elsewhere in the table (Driving Standards Agency, Vehicle Inspectorate, Traffic Area Network and Vehicle Operator Services Agency). The negative figures indicate net receipts.

7 The Aviation figure for 2002-03 includes a £65 million loan to the National Air Traffic Services.

8 The negative figures for Railways capital budget is due to the net receipts from the privatisation of Rolling Stock Leasing Company (ROSCO) and land receipts.

9 Capital grant to London Regional Transport.

# Figures under £500,000 are not shown.

**Table A4: Total capital employed in Departmental group1, 2£ million**

	1998-99 Outturn1	1999-00 Outturn1	2000-01 Outturn1	2001-02 Outturn1	2002-03 Outturn	2003-04 Forecast	2004-05 Projected	2005-06 Projected
<b>Assets on balance sheet at start of year:</b>								
Fixed assets	-	-	-	60,601	67,109	67,639	68,180	70,404
Intangible assets	-	-	-	79	46	47	12	12
Tangible assets	-	-	-	60,522	67,063	67,593	68,169	70,393
Investments	-	-	-	431	515	515	515	515
Current assets	-	-	-	605	632	629	604	604
Creditors (<1 year)	-	-	-	-812	-942	-739	-838	-838
Creditors (>1 year)	-	-	-	-1,471	-1,450	-1,426	-1,444	-1,439
Provisions	-	-	-	-1,206	-2,272	-3,190	-2,836	-2,508

<b>Capital employed within main Department</b>	-	-	-	<b>58,148</b>	<b>63,591</b>	<b>63,429</b>	<b>64,182</b>	<b>66,739</b>
NDPB net assets <sup>3</sup>	-	-	-	-758	-531	2,041	1,165	1,047
<b>Total capital employed within main Department</b>	-	-	-	<b>57,390</b>	<b>63,061</b>	<b>65,469</b>	<b>65,347</b>	<b>67,786</b>

1 Figures from 1998-99 to 2001-02 are unavailable as the Department for Transport was not created until June 2002.

2 Main Departmental figures only include the Driver and Vehicle Licensing Agency until 2003-04, after which time the Agency became a trading fund.

3 NDPB (non-departmental public bodies) net assets in 2001-02 do not include Network Rail (which is consolidated into accounts of Strategic Rail Authority), as the body only began in 2003-03.

**Table A5: Administration costs for Department of Transport 1£ million**

	1998-99 Outturn	1999-00 Outturn	2000-01 Outturn	2001-02 Outturn	2002-03 <sup>2</sup> Outturn	2003-04 <sup>2</sup> Estimated outturn	2004-05 Plans	2005-06 Plans
<b>Gross administration costs<sup>3</sup></b>								
Paybill	177	193	206	208	242	257	-	-
Other	220	245	248	277	335	368	-	-
<b>Total gross administration costs</b>	<b>397</b>	<b>438</b>	<b>454</b>	<b>485</b>	<b>577</b>	<b>625</b>	<b>240</b>	<b>271</b>
Related administration cost receipts	-142	-156	-164	-174	-221	-243	-12	-11
<b>Total net administration costs<sup>4</sup></b>	<b>255</b>	<b>282</b>	<b>290</b>	<b>311</b>	<b>356</b>	<b>382</b>	<b>228</b>	<b>260</b>
<b>Analysis by activity:</b>								
Integrated transport	80	94	90	77	84	89	91	91
Transport safety <sup>4</sup>	86	96	102	139	162	173	11	11
Other (including central administration)	89	92	98	95	110	120	126	158
<b>Total net administration costs</b>	<b>255</b>	<b>282</b>	<b>290</b>	<b>311</b>	<b>356</b>	<b>382</b>	<b>228</b>	<b>260</b>
<b>Controls and limits:</b>								
Administration costs limits (net) for gross controlled areas: <sup>3</sup>								
Central administration	89	92	98	95	110	120	-	-
Departmental unallocated provision	-	-	-	-	-	-	-	-
Highways Agency administration	72	83	77	77	84	89	-	-
Maritime and Coastguard Agency	10	12	9	10	10	9	-	-
Driver and Vehicle Licensing Agency <sup>5</sup>	76	84	93	129	150	164	-	-
Office of Passenger Rail Franchising <sup>6</sup>	8	11	13	-	-	-	-	-
<b>Total net limits for gross controlled areas</b>	<b>255</b>	<b>282</b>	<b>290</b>	<b>311</b>	<b>354</b>	<b>382</b>	<b>228</b>	<b>260</b>
<b>Administration costs limits (net) for net controlled areas:</b>								
Vehicle Certification Agency	#	#	#	#	#	#	#	#
<b>Total net limits for net controlled areas</b>	<b>#</b>	<b>#</b>	<b>#</b>	<b>#</b>	<b>#</b>	<b>#</b>	<b>#</b>	<b>#</b>

1 All figures in this table are consistent with the treatment of administration costs on a full resource accounting and budgeting (RAB) basis.

2 The main changes between 2002-03 outturn and 2003-04 estimated outturn are for developing the Continuous Registration initiative, the Electronic Delivery programme and the Traffic Management initiative in the Highways Agency.

3 Figures unavailable for future years.

4 Excludes DVLA for future years (see 5).

5 From 1 April 2004, DVLA became a trading fund, non-departmental public body. This table therefore only includes information about the Agency for the years up to 2003-04.

6 From 1 February 2001, OPRAF became part of the Strategic Rail Authority, a non-departmental public body.

# Amounts below £500,000 are not shown.

**Table A5: Administration costs: Office of the Rail Regulator£ 000s**

	1998-99 Outturn	1999-00 Outturn	2000-01 Outturn	2001-02 Outturn	2002-032 Outturn	2003-042 Estimated outturn	2004-05 Plans	2005-06 Plans
<b>ORR gross administration costs</b>								
Paybill	4,558	5,285	6,204	4,982	5,440	6,535	6,535	6,535
Other	3,955	6,823	8,845	5,333	7,689	8,263	8,263	8,263
<b>Total gross administration costs</b>	<b>8,513</b>	<b>12,108</b>	<b>15,049</b>	<b>10,315</b>	<b>13,129</b>	<b>14,798</b>	<b>14,798</b>	<b>14,798</b>
Related administration cost receipts	-	-9,348	-13,651	-10,314	-13,128	-14,797	-14,797	-14,797
<b>Total net administration costs</b>	<b>8,513</b>	<b>2,760</b>	<b>1,398</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>Analysis by activity:</b>								
To protect the interests of passengers and third parties, to deliver benefit to customer and freight users, to improve railway performance and simplify the regulatory and contractual system	8,513	2,760	1,398	1	1	1	1	1
<b>Total net administration costs</b>	<b>8,513</b>	<b>2,760</b>	<b>1,398</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>Controls and limits:</b>								
Administration costs limits (net) for gross controlled areas:								
<b>Office of the Rail Regulator</b>	<b>8,513</b>	<b>2,760</b>	<b>1,398</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>Total administration costs limits for gross controlled areas</b>	<b>8,513</b>	<b>2,760</b>	<b>1,398</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>

**Table A6: Staff numbers Staff years**

		2000-01 Actual	2001-02 Actual	2002-03 Actual	2003-04 Estimated	2004-05 Plans1	2005-06 Plans1
Central Department2	CS FTEs	4,870	4,270	1,900	1,926	1,811	1,811
	Overtime	40	70	-	-	-	-
	Casuals	91	90	30	18	18	18
	<b>TOTAL</b>	<b>5,001</b>	<b>4,430</b>	<b>1,930</b>	<b>1,944</b>	<b>1,829</b>	<b>1,829</b>
Driver and Vehicle Licensing Agency	CS FTEs	4,701	5,081	5,326	6,500	6,535	6,555
	Overtime	107	106	106	98	84	88
	Casuals	567	183	411	-	-	-
	<b>TOTAL</b>	<b>5,375</b>	<b>5,370</b>	<b>5,843</b>	<b>6,598</b>	<b>6,619</b>	<b>6,643</b>
Driving Standards Agency	CS FTEs	1,742	1,851	1,949	2,270	2,560	2,600
	Overtime	-	-	-	-	-	-
	Casuals	-	-	-	-	-	-
	<b>TOTAL</b>	<b>1,742</b>	<b>1,851</b>	<b>1,949</b>	<b>2,270</b>	<b>2,560</b>	<b>2,600</b>
Highways Agency3	CS FTEs	1,980	1,673	1,842	2,054	2,762	3,762
	Overtime	15	23	21	8	0	0
	Casuals	30	39	21	8	6	6
	<b>TOTAL</b>	<b>2,025</b>	<b>1,735</b>	<b>1,884</b>	<b>2,070</b>	<b>2,768</b>	<b>3,768</b>
Maritime and Coastguard Agency	CS FTEs	1,020	1,630	1,100	1,139	1,139	1,139
	Overtime	55	52	47	50	56	56
	Casuals	30	40	50	39	-	-
	<b>TOTAL</b>	<b>1,105</b>	<b>1,722</b>	<b>1,197</b>	<b>1,228</b>	<b>1,195</b>	<b>1,195</b>
Vehicle Certification Agency	CS FTEs	93	100	104	112	111	110
	Overtime	3	4	3	3	2	2
	Casuals	-	-	3	1	-	-
	<b>TOTAL</b>	<b>96</b>	<b>104</b>	<b>110</b>	<b>116</b>	<b>113</b>	<b>112</b>
Vehicle and Operator Services Agency4	CS FTEs	1,947	1,900	2,110	2,233	2,240	2,240
	Overtime	88	78	-	58	88	88

	Casuals	100	110	80	80	80	80
	<b>TOTAL</b>	<b>2,135</b>	<b>2,088</b>	<b>2,190</b>	<b>2,371</b>	<b>2,408</b>	<b>2,408</b>
<b>Total Department for Transport</b>		<b>17,479</b>	<b>17,244</b>	<b>14,873</b>	<b>16,597</b>	<b>17,492</b>	<b>18,555</b>
Office of the Rail Regulator	CS FTEs	100	108	120	124	140	140
	Overtime	1	1	1	1	1	1
	Casuals	3	-	-	-	-	-
	<b>TOTAL</b>	<b>104</b>	<b>109</b>	<b>121</b>	<b>125</b>	<b>141</b>	<b>141</b>
Office of Passenger Rail Franchising <sup>5</sup>	CS FTEs	180	-	-	-	-	-
	Overtime	2	-	-	-	-	-
	Casuals	5	-	-	-	-	-
	<b>TOTAL</b>	<b>187</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

1 Figures for 2004-05 and 2005-06 are subject to review in the light of the Government's Efficiency Review.

2 The staff figures prior to 2002-03 are those for DETR/DTLR. From 2002-03 onwards the figures are those for the Department for Transport. Due to a changeover of the Department's contractual payroll provider, overtime figures for 2002/03 and 2003/04 are unavailable. The Central Department's staffing figures include Government Office staff allocated to DfT.

3 These figures include Traffic Operations staff.

4 From 1 April 2003 the Vehicle Inspectorate (VI) and the Traffic Area Network (TAN) combined to become the Vehicle Operator Services Agency. Figures for previous years are for VI. TAN figures for previous years are included in the DfT total.

5 From January 2001 OPRAF became part of the Strategic Rail Authority, a non-departmental public body. Information on staff numbers can be found in Figure 2c.